SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	1 0	erson*	WES	er Name <b>and</b> Ticke TINGHOUS HNOLOGIES	E AIR BI	<u>RAKE</u>		tionship of Reportin all applicable) Director Officer (give title	10% C			
(Last) 30 ISABELLA S	(First)	(Middle)	3. Date 03/01/	of Earliest Transad 2021	ction (Month/D	pay/Year)		below) President, 7	below Transit Group	<i>,</i>		
(Street) PITTSBURGH	РА	15212	4. If An	nendment, Date of (	Original Filed	(Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One Form filed by Mor	e Reporting Pers	son		
(City)	(State)	(Zip)						Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security	(Instr. 3)		2. Transaction Date	2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3.		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

	Date (Month/Day/Year)	nth/Day/Year) if any Code (Instr. 5) Beneficially (D) or Ir		Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)					
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	03/01/2021		М		6,846	Α	(1)	9,376	D	
Common Stock	03/01/2021		D		6,846	D	\$74.15	2,530	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Seci Acq (A) ( Disp of (E	osed )) tr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Phantom Stock	(1)	03/01/2021		М			550	03/01/2021	03/01/2021	Common Stock	550	\$0.00	0	D	
Phantom Stock	(1)	03/01/2021		М			750	03/01/2022 <sup>(2)</sup>	03/01/2022	Common Stock	750	\$0.00	750	D	
Phantom Stock	(1)	03/01/2021		м			667	03/01/2022 <sup>(3)</sup>	03/01/2022	Common Stock	667	\$0.00	666	D	
Phantom Stock	(1)	03/01/2021		м			1,171	03/01/2023 <sup>(4)</sup>	03/01/2023	Common Stock	1,171	\$0.00	2,342	D	
Phantom Stock	(1)	03/01/2021		М			3,708	03/01/2022 <sup>(5)</sup>	03/01/2022	Common Stock	3,708	\$0.00	1,833	D	

Explanation of Responses:

1. Each share of phantom stock is the economic equivalent of one share of Westinghouse Air Brake Technologies Corporation's common stock. The transactions reported in this Form 4 relate to the settlement of 6,846 shares of phantom stock in cash upon vesting on March 1, 2021.

2. 750 remaining shares of phantom stock vest on March 1, 2022.

3. 666 remaining shares of phantom stock vest on March 1, 2022.

4. 1,171 remaining shares of phantom stock vest on March 1, 2022, and 1,171 remaining shares of phantom stock vest on March 1, 2023.

5. 1,833 remaining shares of phantom stock vest on March 1, 2022.

Remarks:

David L. DeNinno, POA for Lilian Leroux

03/02/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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