## FORM 5

Check this box if no longer subject

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

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W	as	sh	ing	gto	n,	D.	C.	20	054	9	

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP

OMB APPRO	VAL					
OMB Number: 3235-036						
Estimated average burden						
hours per response:	1.0					

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

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Form 4	4 Transaction	s Reported.	Filed	d pursuant to S or Section 3														
1. Name and Address of Reporting Person*  Faiveley Erwan				2. Issuer Name and Ticker or Trading Symbol WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [ WAB ]					(	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner  Officer (give title below) below)					<sup>i</sup> y			
(Last) (First) (Middle) 30 ISABELLA ST.				3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2020						y/Year)		20.01.						
(Street) PITTSBURGH PA 15212				4. If Amend	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person						
(City)	(		(Zip)															
		Table	l - Non-Deriva	ative Secu	rities	s Acc	uire	d, Dis	posed	of, or	Benefic	ially	/ Own	ed				
`` '   [			2. Transaction Date (Month/Day/Year)	Execution Date,		3. Transaction Code (Instr. 8)  4. Securities Acqu Of (D) (Instr. 3, 4 a			A) or Dispos	5. Amour Securitie Beneficia Owned a		es ally	6. Owne Form (D) or	Direct	7. Nature of Indirect Beneficial Ownership			
				(	,,	9,				(A) or (D)	Price	Issuer's I Year (Ins 4)		Fiscal Indir		ct (I)	(Instr. 4)	
Common Stock - Direct													7,80	64 <sup>(1)</sup>		D		
Common Stock												5,745,561		I	Please see footnote			
		Та	ble II - Derivat (e.g., pı	ive Securit uts, calls, v									Owne	d				
1. Title of Derivative Security (Instr. 3)	2. Conversio or Exercis Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Deriv Secu Acqu (A) o Disp of (D	osed )) :r. 3, 4	Expir (Mon	iration Date inth/Day/Year)  e Expiration		Am Sec Und Der Sec 3 a	Amount of Number of e Shares	Der Sec (Ins	Price of rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Owners Form: Direct (I or Indir (I) (Instr	hip of In Ben O) Own	Nature ndirect neficial nership tr. 4)

## **Explanation of Responses:**

1. Reflects the previously unreported withholding of an aggregate of 1,162 shares to cover tax obligations.

## Remarks:

In connection with the consummation of certain transactions contemplated by that certain Share Purchase Agreement, dated as of October 6, 2015 (as amended, the "Purchase Agreement"), among Financiere Faiveley S.A., a French societe anonyme ("FinF"), Famille Faiveley Participations S.A.S., a French societe par actions simplifee ("FFP"), Mr. Francois Faiveley and Mr. Erwan Faiveley (collectively, the "Sellers"), Wabtec Corporation and FW Acquisition, LLC, on November 30, 2016, the Sellers received shares of common stock of Westinghouse Air Brake Technologies Corporation ("Wabtec"). Issarts Capital S.A., a public limited liability company organized under the laws of Luxembourg ("Issarts"), is the successor by merger to FinF and FFP. Mr. Erwan Faiveley is the chairman of the board of directors of Issarts and has affiliations with entities that own a majority of the outstanding capital stock of Issarts. All shares of common stock of Wabtec held by Issarts are indicated as beneficially owned indirectly by Mr. Erwan Faiveley in this report because of his affiliations with Issarts, and Mr. Erwan Faiveley disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein. Except as expressly indicated herein, Mr. Erwan Faiveley, Issarts or any holder of capital stock of Issarts is the beneficial owner of shares of common stock of Wabtec.

David L. DeNinno, POA for Erwan Faiveley

\*\* Signature of Reporting Person

02/02/2021

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.