## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |       |  |  |  |  |  |  |  |  |
|--------------------------|-------|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |       |  |  |  |  |  |  |  |  |
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| hours per response       | . 0.5 |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Faiveley Erwan |   |              |   |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol WESTINGHOUSE AIR BRAKE |  |   |   |                             |        |                    |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                       |  |   |  |   |  |
|--|---|--------------|---|---|---|--|---|---|-----------------------------|--------|--------------------|--|---|-----------------------|--|---|--|---|--|
|  |   |              |   |   |   | TECHNOLOGIES CORP [ WAB ]                                |   |   |                             |        |                    |  |   | X                     |  |   |  | % Owner                                     |  |
| (Last) (First) (Middle) 30 ISABELLA ST.                  |   |              |   |   | 3. Date of Earliest Transaction (Month/Day/Year) 11/24/2020               |  |   |   |                             |        |                    |  |   |                       | belov  | er (give title<br>v)  |  | ner (specify<br>ow)                         |  |
| (Street)   | JRGH PA   | RGH PA 15212 |   |   |   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |   |                             |        |                    |  |   | 6. Indi<br>Line)<br>X | X Form filed by One Reporting Person Form filed by More than One Reporting |   |  |   |  |
| (City)   | (St   | ate) (2      | Zip)                                      |   |   | Person   |   |   |                             |        |                    |  |   |                       |  | on  |  |   |  |
|  |   | Table        | I - No                                    | on-Deriva   | tive S  | Secur  | ities A   | cq                                      | uired                       | l, Dis | sposed of          | , or B   | Benef   | icially               | / Own  | ed  |  |   |  |
| 1. Title of Security (Instr. 3)                          |   |              | 2. Transaction<br>Date<br>(Month/Day/Year |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)               |  | .   | 3.<br>Transaction<br>Code (Instr.<br>8) |                             |        |                    |  | and 5) Securit<br>Benefic<br>Owned                                      |                       | ties<br>cially<br>I Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                               | of Indirect<br>Beneficial<br>Ownership                         |   |  |
|  |   |              |   |   |   |  | Ī   | Code                                    | v                           | Amount | (A) or (D)         | Pric   | е   |                       | ted<br>action(s)<br>3 and 4)   |   | (Instr. 4)   |   |  |
| Common Stock   |   |              | 11/24/2020                                |   |   |  |   | S                                       |                             | 5,278  | D                  | \$7  | 4.84(1)   | 5,880,283             |  | I   | Please<br>see<br>footnote                                      |   |  |
| Common Stock   |   | 11/24/2020   |   |   |   |  | S   |   | 46,842                      | D      | \$7                | 5.96(2)  | 5,833,441   |                       | I  | Please<br>see<br>footnote   |  |   |  |
| Common Stock   |   |              | 11/24/2020                                |   |   |  |   | S                                       |                             | 22,880 | D                  | \$7  | <b>\$</b> 76.8 <sup>(3)</sup>   |                       | 5,810,561  |   | Please<br>see<br>footnote                                      |   |  |
| Common Stock - Direct                                    |   |              |   |   |   |  |   |   |                             |        |                    |  |   |                       | 9  | 0,026   | D  |   |  |
|  |   | Tal          | ble II                                    |   |   |  |   |   |                             |        | osed of, c         |  |   |                       | Owne   | d   |  |   |  |
| Derivative Security (Instr. 3)                           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |              | Execu                                     | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   | action<br>(Instr.  | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |   | 6. Date<br>Expira<br>(Monti | tion D |                    | 7. Title<br>Amou<br>Secur<br>Under<br>Deriva<br>Secur<br>3 and | int of<br>rities<br>rlying<br>ative<br>rity (Ins                        | Der<br>Sed<br>(Ins    | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)                        | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4 | (D) Beneficia<br>Ownersh<br>rect (Instr. 4) |  |
|  |   |              |   |   | Code  | v  | (A) (D)   |   | Date<br>Exerci              | sable  | Expiration<br>Date | Title  | Amou<br>or<br>Numb<br>of<br>Share                                       | ber                   |  |   |  |   |  |
| Explanation  | n of Respon   | ses:         | *   |   | •   |  |   |   |                             |        |                    |  |   | ,                     |  |   |  |   |  |

- 1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices within \$1.00 ranging from \$74.25 to \$75.24 inclusive. The reporting person undertakes to provide to Westinghouse Air Brake Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (1) to this Form 4.
- 2. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices within \$1.00 ranging from \$75.25 to \$76.24 inclusive. The reporting person undertakes to provide to Wabtec, any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (2) to this Form 4.
- 3. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices within \$1.00 ranging from \$76.25 to \$77.12 inclusive. The reporting person undertakes to provide to Wabtec, any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in footnote (3) to this Form 4.

In connection with the consummation of certain transactions contemplated by that certain Share Purchase Agreement, dated as of October 6, 2015 (as amended, the "Purchase Agreement"), among Financiere Faiveley S.A., a French societe anonyme ("Finf"), Famille Faiveley Participations S.A.S., a French societe par actions simplifee ("FFP"), Mr. Francois Faiveley and Mr. Erwan Faiveley (collectively, the "Sellers"), Wabtec Corporation and FW Acquisition, LLC, on November 30, 2016, the Sellers received shares of common stock of Westinghouse Air Brake Technologies Corporation ("Wabtee"). Issarts Capital S.A., a public limited liability company organized under the laws of Luxembourg ("Issarts"), is the successor by merger to FinF and FFP. Mr. Erwan Faiveley is the chairman of the board of directors of Issarts and has affiliations with entities that own a majority of the outstanding capital stock of Issarts. All shares of common stock of Wabtec held by Issarts are indicated as beneficially owned indirectly by Mr. Erwan Faiveley in this report because of his affiliations with Issarts, and Mr. Erwan Faiveley disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein. Except as expressly indicated herein, Mr. Erwan Faiveley disclaims beneficial ownership of all the shares held by Issarts and this report shall not be deemed an admission that, for purposes of Section 16 of the Exchange Act or otherwise, that Mr. Erwan Faiveley, Issarts or any holder of capital stock of Issarts is the beneficial owner of shares of common stock of Wabtec.

> David L.DeNinno, POA for Erwan Faiveley

11/25/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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