FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Vashington,	DC	205/19	
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OMB APPR	OVAL				
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Faiveley Erwan						2. Issuer Name <b>and</b> Ticker or Trading Symbol WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [ WAB ]									all app	onship of Reporting P all applicable) Director		10% Owner	
(Last) (First) (Middle) 30 ISABELLA ST.							3. Date of Earliest Transaction (Month/Day/Year) 08/18/2020								Officer (give title below)		Other (sp below)		specify
(Street) PITTSBI		PA (State										. Indiv ine) X	vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person						
			Table	I - No	on-Deriva	tive	Secur	ities Ac	quired	l, Di	sposed of	, or Be	enefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/N			Execution Date		tion Date,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			nd 5)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount	(A) or (D)	Price		Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock 08/18/20					)20			S		4,600	D	\$68.2	26(1)	6,1	96,581	I		Please see footnote	
Common Stock - Direct															9,026		D		
			Tal	ole II							osed of, convertib			•	wned	t			
Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year)		4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed		Expiration Date			7. Title and Amount of Securities Underlying Derivative Security (Instr		Deri Seci	B. Price of Derivative Security (Instr. 5) Securities Beneficially Owned Following Reported		Ov Fo Dii or	vnership orm: rect (D) Indirect (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)					

## **Explanation of Responses:**

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$68.01 to \$68.56 inclusive. The reporting person undertakes to provide to Westinghouse Air Brake Technologies Corporation ("Wabtec"), any security holder of Wabtec, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4.

Exercisable

of (D)

(A)

(Instr. 3, 4 and 5)

(D)

## Remarks:

In connection with the consummation of certain transactions contemplated by that certain Share Purchase Agreement, dated as of October 6, 2015 (as amended, the "Purchase Agreement"), among Financiere Faiveley S.A., a French societe anonyme ("FinF"), Famille Faiveley Participations S.A.S., a French societe par actions simplifee ("FFP"), Mr. Francois Faiveley and Mr. Erwan Faiveley (collectively, the "Sellers"), Wabtec Corporation and FW Acquisition, LLC, on November 30, 2016, the Sellers received shares of common stock of Westinghouse Air Brake Technologies Corporation ("Wabtec"). Issarts Capital S.A., a public limited liability company organized under the laws of Luxembourg ("Issarts"), is the successor by merger to FinF and FFP. Mr. Erwan Faiveley is the chairman of the board of directors of Issarts and has affiliations with entities that own a majority of the outstanding capital stock of Issarts. All shares of common stock of Wabtec held by Issarts are indicated as beneficially owned indirectly by Mr. Erwan Faiveley in this report because of his affiliations with Issarts, and Mr. Erwan Faiveley disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein. Except as expressly indicated herein, Mr. Erwan Faiveley disclaims beneficial ownership of all the shares held by Issarts and this report shall not be deemed an admission that, for purposes of Section 16 of the Exchange Act or otherwise, that Mr. Erwan Faiveley, Issarts or any holder of capital stock of Issarts is the beneficial owner of shares of common stock of Wabtec.

> David L. DeNinno, POA for **Erwan Faiveley**

Amount Number

**Shares** 

Expiration

Date

Title

08/20/2020

Transaction(s)

(Instr. 4)

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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