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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|----------------------------------------|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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| 1. Name and Address<br>DUGAN PAT | RICK D              |          | 2. Issuer Name and Ticker or Trading Symbol<br>WESTINGHOUSE AIR BRAKE<br>TECHNOLOGIES CORP [ WAB ] |                         | ionship of Reporting Persor<br>all applicable)<br>Director<br>Officer (give title<br>below) | n(s) to Issuer<br>10% Owner<br>Other (specify<br>below) |
|----------------------------------|---------------------|----------|----------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------------------------------------------------------------|---------------------------------------------------------|
| (Last)<br>1001 AIR BRAK          | (First)<br>E AVENUE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/06/2019                                     |                         | EVP & Chief Financi                                                                         | al Officer                                              |
| (Street)<br>WILMERDING           | РА                  | 15148    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                           | 6. Indivi<br>Line)<br>X | idual or Joint/Group Filing (<br>Form filed by One Reporti<br>Form filed by More than C     | ing Person                                              |
| (City)                           | (State)             | (Zip)    |                                                                                                    |                         | Person                                                                                      | 2e coporting                                            |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any                                              | Code ( | Disposed Of (D) (Instr. 3, 4 and |                |                              | 5. Amount of<br>Securities<br>Beneficially | 6. Ownership<br>Form: Direct<br>(D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial |  |
|---------------------------------|--------------------------------------------|--------------------------------------------------------------------------------------|--------|----------------------------------|----------------|------------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------|--|
|                                 |                                            | (Month/Day/Year) 8) Owned Followin<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) |        | Transaction(s)                   | (l) (Instr. 4) | Ownership<br>(Instr. 4)      |                                            |                                                 |                                        |  |
| Common Stock - Direct           | 03/06/2019                                 |                                                                                      | A      | 7,700                            | A              | \$0.00 <sup>(1)</sup>        | 75,995                                     | D                                               |                                        |  |
| Common Stock - Direct           | 03/06/2019                                 |                                                                                      | A      | 10,000                           | A              | \$0.00 <sup>(1)</sup>        | 85,995                                     | D                                               |                                        |  |
| Common Stock - Direct           | 03/06/2019                                 |                                                                                      | A      | 10,000                           | A              | <b>\$0.00</b> <sup>(1)</sup> | 95,995                                     | D                                               |                                        |  |
| Common Stock - 401k             |                                            |                                                                                      |        |                                  |                |                              | 576.336 <sup>(2)</sup>                     | Ι                                               | 401K                                   |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of    |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|-----------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------|---|-------|-----|----------------------------------------------------------------|--------------------|--------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|
|                                                     |                                                                       |                                            |                                                             | Code                         | v | (A)   | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                                                            | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                                                                            |                                                                          |                                                                    |
| Non-<br>Qualified<br>Stock<br>Options               | \$70.64                                                               | 03/06/2019                                 |                                                             | A                            |   | 7,700 |     | 03/01/2022 <sup>(3)</sup>                                      | 03/06/2029         | Common<br>Stock                                                                                  | 7,700                                  | \$0.00                                              | 7,700                                                                                                                      | D                                                                        |                                                                    |

Explanation of Responses:

1. Fair Market Value of the stock on March 6, 2019 was \$70.64 per share.

2. Reflects the net acquisition of 56.073 shares in Mr. Dugan's 401(k) plan account as a result of non-discretionary transactions.

3. Options vest 33.3% per year beginning March 1, 2020.

**Remarks:** 

David L. DeNinno, POA for Patrick Dugan

03/08/2019

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.