SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Leroux Lilian</u>		Person*	2. Issuer Name and Ticker or Trading Symbol WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORP [WAB]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)		
(Last) 30 ISABELLA S	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/31/2021	President, Transit Group		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)		
PITTSBURGH	PA	15212		X Form filed by One Reporting Person		
(City)	(State)	(Zip)		Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						

5. Amount of Securities Beneficially 6. Ownership Form: Direct (D) or Indirect 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 7. Nature of Indirect 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed Date (Month/Day/Year) Execution Date, Transaction Code (Instr. Beneficial Owned Following (Month/Day/Year) 8) (I) (Instr. 4) Ownership (Instr. 4) Reported (A) or (D) Transaction(s) Code Price v Amount (Instr. 3 and 4) Common Stock 12/31/2021 Μ 625 A **\$0**⁽¹⁾ 4,371 D Common Stock 12/31/2021 D 625 \$91.985 3,746 D D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction Date 3A. Deemed Execution Date 11. Nature of Indirect 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 2. Conversion Transaction Derivative of Expiration Date Amount of derivative Ownership Security or Exercise (Month/Dav/Year) if any Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Form: Beneficial (Instr. 3) Price of (Month/Day/Year) 8) Securities Underlying Derivative Security (Instr. 5) Beneficially Direct (D) Ownership Derivative Acquired Owned or Indirect (Instr. 4) (A) or Disposed Following Reported Security (Instr. 3 and 4) (I) (Instr. 4) of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount

Explanation of Responses:

(1)

1. Each share of phantom stock is the economic equivalent of one share of Westinghouse Air Brake Technologies Corporation's common stock. The transactions reported in this Form 4 relate to the settlement of 625 shares of phantom stock in cash upon vesting on December 31, 2021.

Date

Exercisable

12/31/2021

(A) (D)

625

Expiration

12/31/2021

Date

Remarks:

Phantom

Stock

<u>David L. DeNinno, POA for</u> Lilian Leroux

Number

Shares

625

\$<mark>0</mark>

of

Title

Commo

Stock

<u>01/04/2022</u>

0

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

12/31/2021

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

Μ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.